



## U.S. Department of the Interior PRIVACY IMPACT ASSESSMENT

### Introduction

The Department of the Interior requires PIAs to be conducted and maintained on all IT systems whether already in existence, in development or undergoing modification in order to adequately evaluate privacy risks, ensure the protection of privacy information, and consider privacy implications throughout the information system development life cycle. This PIA form may not be modified and must be completed electronically; hand-written submissions will not be accepted. See the [DOI PIA Guide](#) for additional guidance on conducting a PIA or meeting the requirements of the E-Government Act of 2002. See Section 6.0 of the DOI PIA Guide for specific guidance on answering the questions in this form.

NOTE: See Section 7.0 of the DOI PIA Guide for guidance on using the DOI Adapted PIA template to assess third-party websites or applications.

**Name of Project:** Reclamation – Digital Evidence Management System (R-DEMS)

**Bureau/Office:** Bureau of Reclamation / Security Division

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### Section 1. General System Information

#### A. Is a full PIA required?

- Yes, information is collected from or maintained on
  - Members of the general public
  - Federal personnel and/or Federal contractors
  - Volunteers
  - All

- No: *Information is NOT collected, maintained, or used that is identifiable to the individual in this system. Only sections 1 and 5 of this form are required to be completed.*

#### B. What is the purpose of the system?



The Reclamation Digital Evidence Management System (R-DEMS) is an enterprise, commercial-off-the-shelf, end-to-end law enforcement and security operations camera and digital evidence management system. Body worn cameras are used by Security Response Force (SRF) personnel in the performance of their duties. Still images and other digital evidence are also obtained and collected from the public as evidence during an investigation. The R-DEMS provides an integrated system of body-worn cameras, docking station and compatible software to enable SRF personnel to download, catalogue, tag, manage, and store the digital evidence in a FedRAMP approved, cloud-storage system (evidence.com).

The system includes:

- Axon View XL: A Windows application that allows users to view, record, and playback videos from Axon cameras.
- Axon Device Manager: A mobile application that is used to program body-worn cameras and allows users, with specific privileges, to review footage via government-issued phones in an incident.

R-DEMS provides the following capabilities:

- Collecting and uploading content in any file format, from any device.
- Transferring the data by automatically uploading content from body worn camera devices and hard drives.
- Managing - Keep information organized and tag it with the correct metadata.
- Retrieving - Find evidence quickly with search features.
- Sharing - Grant access to authorized persons, like prosecutors, or share content with a secure link.
- Scalable - Increase storage space as needed.
- Effortlessly tag video with correct metadata.
- Ensure evidence receives the appropriate automatic retention period.
- Redact data in response to Freedom of Information Act (FOIA) and Privacy Act requests for information.

This PIA covers Reclamation's use of DEMS and digital evidence management to support the SRF personnel's use of body worn cameras. The DEMS may be utilized by other DOI bureaus and offices, which are responsible for managing their own records in DEMS and meeting privacy, security, and records requirements for their respective use of the systems. These devices meet DOI requirements for IT security protection.

### **C. What is the legal authority?**

Uniform Federal Crime Reporting Act, 28 U.S.C. 534; Intelligence Reform and Terrorism Prevention Act of 2004 (Pub. L. 108-458); Homeland Security Act of 2002 (Pub. L. 107-296); USA PATRIOT ACT of 2001 (Pub. L. 107-56); USA PATRIOT Improvement Act of 2005 (Pub. L. 109-177); Executive Order 14074, Advancing Effective, Accountable Policing and Criminal Justice Practices To Enhance Public Trust



and Public Safety; Homeland Security Presidential Directive 7—Critical Infrastructure Identification, Prioritization, and Protection; Homeland Security Presidential Directive 12—Policy for a Common Identification Standard for Federal Employees and Contractors; Criminal Intelligence Systems Operating Policies, 28 CFR part 23; 446 DM 41, Body Worn Cameras and Vehicle Mounted Cameras.

**D. Why is this PIA being completed or modified?**

- New Information System
- New Electronic Collection
- Existing Information System under Periodic Review
- Merging of Systems
- Significantly Modified Information System
- Conversion from Paper to Electronic Records
- Retiring or Decommissioning a System
- Other: *Describe*

**E. Is this information system registered in the Governance, Risk and Compliance Platform?**

- Yes: Not individually. This system will fall under the Reclamation Common Software as a Service (RC-SaaS) boundary in Xacta. The UII Code is 010-000002658.
- No

**F. List all minor applications or subsystems that are hosted on this system and covered under this privacy impact assessment.**

<b>Subsystem Name</b>	<b>Purpose</b>	<b>Contains PII (Yes/No)</b>	<b>Describe</b> <i>If Yes, provide a description.</i>
Axon View XL	Axon View XL is a software application for desktop computers that allows an agency to manually download videos from the body worn and dash cameras and upload them into Evidence.com. View XL also allows user to add metadata to the videos, provides a live view preview of the camera, and allows the user to change camera settings such as light adjustments and volume adjustments.	Yes	Axon View XL does not retain PII or video files on the computer, but only interfaces with the videos actively stored on the camera and prepares them for offload to the cloud. Physical access to the camera



			is required in order to access the files.
Axon Device Manager	<p>Axon Device Manager (ADM) is a mobile application for mobile devices (smartphones and tablets) that allows an agency user to register, assign, and reassign Axon devices. In order to use the ADM application, the role of the user in Evidence.com must have a Device Administration permission, or Conducted Electrical Weapons (CEW) Administration permission, or both permissions set to 'Allowed' to use the ADM application. Though ADM is the preferred method for registering, assigning, and reassigning Axon devices, devices can also be registered, assigned, and reassigned directly in Evidence.com.</p> <p>The Axon Device Manager mobile application should only be uploaded and utilized on government issued mobile devices. These devices meet DOI requirements for IT security protection.</p>	No	ADM does not create, collect, use, process, maintain or disseminate PII or specific information about individuals. The ADM application is used to register, assign, or reassign Axon devices which do collect, use, process, maintain, and disseminate PII, but does not itself collect, process, store, or interface with any of this collected data.

**G. Does this information system or electronic collection require a published Privacy Act System of Records Notice (SORN)?**

Yes: INTERIOR/DOI-10, DOI Law Enforcement Records Management System (LE RMS), 89 FR 1594, January 10, 2024

No

**H. Does this information system or electronic collection require an OMB Control Number?**

Yes:

No

**Section 2. Summary of System Data**



**A. What PII will be collected? Indicate all that apply.**

- Name
- Personal Cell Telephone Number
- Gender
- Birth Date
- Personal Email Address
- Marital Status
- Home Telephone Number
- Law Enforcement
- Emergency Contact
- Mailing/Home Address
- Driver's License
- Race/Ethnicity
- Other: Axon View XL is used to view, record, and playback videos from Axon Cameras. Digital evidence includes audio, video, still images, or other media that has been captured in the normal course of security guard duties. PII is captured on SRF personnel camera systems when the recording device is activated during SRF personnel citizen interactions. Data recorded is directly related to SRF personnel activities and emergency response, and may include video images of people, driver licenses, and personal information verbally requested for the purposes of violation notices and/or arrests during a lawful contact.

**B. What is the source for the PII collected? Indicate all that apply.**

- Individual
- Federal agency
- Tribal agency
- Local agency
- DOI records
- Third party source
- State agency
- Other: PII is captured on SRF personnel camera systems when the recording device is activated during SRF personnel citizen interactions. Data recorded is directly related to SRF personnel activities and emergency response, and may include video images of people, driver licenses, and personal information verbally requested for the purposes of violation notices and/or arrests during a lawful contact.

**C. How will the information be collected? Indicate all that apply.**



- Paper Format
- Email
- Face-to-Face Contact
- Web site
- Fax
- Telephone Interview
- Information Shared Between Systems
- Other: Axon View XL is used to view, record, and playback videos from Axon Cameras. Digital evidence includes audio, video, still images, or other media that has been captured in the normal course of security guard duties. PII is captured on SRF personnel camera systems when the recording device is activated during SRF personnel citizen interactions. Data recorded is directly related to SRF personnel activities and emergency response, and may include video images of people, driver licenses, and personal information verbally requested for the purposes of violation notices and/or arrests during a lawful contact.

**D. What is the intended use of the PII collected?**

PII is used for official law enforcement purposes. The system provides SRF personnel mobile video recording capability (body cameras) to document officer-citizen encounters while engaged in patrol functions. Digital evidence is used in law enforcement activities on lands/areas governed by the DOI as well as tribal lands. It is used to support the investigation and prosecution of criminal activity and violation of laws on DOI lands and/or against DOI personnel.

**E. With whom will the PII be shared, both within DOI and outside DOI? Indicate all that apply.**

Within the Bureau/Office: PII is shared within Bureaus for investigation, apprehension, recordkeeping, and possible arrest and/or conviction of crimes committed on DOI properties.

Other Bureaus/Offices: PII is shared within DOI Office of Law Enforcement and Security (OLEs) and other DOI Bureaus for investigation, apprehension, recordkeeping, and possible arrest and/or conviction of crimes committed on DOI properties.

Other Federal Agencies: PII is shared with other law enforcement agencies for investigation, apprehension, recordkeeping, and possible arrest and/or conviction of crimes committed on DOI properties. Information may also be shared between DOI SRF personnel and other Federal agencies as authorized and described in the routine uses published in the INTERIOR/DOI-10 Law Enforcement Records Management System



(LE RMS) system of records notice, which may be viewed at:  
<https://www.doi.gov/privacy/sorn>.

Tribal, State or Local Agencies: PII is shared with Tribes for investigation, apprehension, recordkeeping, and possible arrest and/or conviction of crimes committed on DOI properties which might include Tribal lands. Information may be shared with Local agencies for law enforcement purposes. For example, some Reclamation facilities use CCTV and may share data with local law enforcement depending on the nature of the event and who has jurisdiction.

Contractor: PII is shared with DOI contractors to facilitate system operation.

Other Third-Party Sources: PII is shared with attorneys or court staff for judicial reasons. Information may also be shared with other third parties as authorized and described in the routine uses published in the INTERIOR/DOI-10 Law Enforcement Records Management System (LE RMS) system of record notice, which may be viewed at: <https://www.doi.gov/privacy/sorn>.

**F. Do individuals have the opportunity to decline to provide information or to consent to the specific uses of their PII?**

Yes: *Describe the method by which individuals can decline to provide information or how individuals consent to specific uses.*

No: Due to the purpose and nature of the system, to support law enforcement operations and investigations, individuals generally do not have the opportunity to consent to the collection or use of the recording of their images or activities. For use of audio and visual recordings, individuals who enter on Federal properties and public areas do not have a reasonable expectation of privacy. Some DOI controlled areas may have signs posted that inform individuals of surveillance activities, but in many cases notice may not be provided or consent obtained for audio or images captured during law enforcement operations or activities.

Exceptions to this policy and practice can occur when individuals have a reasonable expectation of privacy, to protect their identity, to obtain voluntary statements from a sexual assault victim, when a juvenile is involved, or as stipulated by policy.

**G. What information is provided to an individual when asked to provide PII data? Indicate all that apply.**

Privacy Act Statement: *Describe each applicable format.*



Privacy Notice: Notice is provided through this PIA and the publication of the INTERIOR/DOI-10, Law Enforcement Records Management System (LE RMS) system of record notice at 89 FR 1594, January 10, 2024.

Other: Some DOI controlled areas may have signs posted that inform individuals of surveillance activities but given the unpredictability of security operation interactions or encounters, there may be times when providing notice is impractical, impossible, or jeopardizes the safety of SRF personnel or third parties. Therefore, DOI also provides general notice to the public through this PIA.

Body worn cameras may have indicator lights on when they are recording. In addition, uniformed officers, who the public would recognize as having security operation authority, wear cameras visible to the public on their shirt or jacket.

None: Individuals who enter on Federal properties and public areas do not have a reasonable expectation of privacy. Some DOI controlled areas may have signs posted that inform individuals of surveillance activities but given the unpredictability of law enforcement interactions or encounters, there may be times when providing notice is impractical, impossible, or jeopardizes the safety of SRF personnel or third parties.

**H. How will the data be retrieved? List the identifiers that will be used to retrieve information (e.g., name, case number, etc.).**

Digital evidence is tagged (labeled) for ease of search and retrieval. Generally, they are tagged as evidence or non-evidence for records retention purposes. Additional tagging associated with an incident case number, individual's name, date of incident, or another attribute may occur.

**I. Will reports be produced on individuals?**

Yes: Detailed information can be viewed by authorized users. Administrative reports may also be generated in response to audits, oversight, and compliance. Digital evidence can be linked to an incident which routinely has an associated individual. Metadata that is associated with the incident report for an investigation that is maintained in the law enforcement records management system may be available through the Freedom of Information Act (FOIA) subject to exemptions under the FOIA and Privacy Act for law enforcement records.

No



### Section 3. Attributes of System Data

**A. How will data collected from sources other than DOI records be verified for accuracy?**

Most images captured through the various digital evidence media are from DOI law enforcement cameras in real time. Recorded data collected that relates to individuals for an authorized purpose is verified by the law enforcement dispatch communication center through a General Agreement. An API may be used for data validation and transfer. Software would prevent the altering, tampering, or destruction of the original recording.

**B. How will data be checked for completeness?**

The individual collecting the data verifies the completeness of data collected per policy and procedures defined by each participating organization. Supervisors also review data for completeness during the investigation process, which may include subject or witness interviews and verifying information with other law enforcement agencies and organizations.

**C. What procedures are taken to ensure the data is current? Identify the process or name the document (e.g., data models).**

Video recordings used for law enforcement purposes are taken in real time. Recordings have a date and time stamp when they are created.

**D. What are the retention periods for data in the system? Identify the associated records retention schedule for the records in this system.**

Only the images or video needed to support official law enforcement operations to respond to unlawful activities or support investigations is retained for evidentiary and/or forensic purposes, and evidence destruction is directed or completed by the evidence custodian following the adjudication of the case, receipt of a court order, or as part of evidence inventory management. Procedures for disposal/destruction of evidentiary records is specified in DOI policy.

Videos associated with criminal incidents in the database are maintained as evidence according to the specific incident's approved records disposition schedule. Video recorded on law enforcement cameras is uploaded, processed, and stored in DEMS. DEMS records are retained and disposed of in accordance with the following schedules.

Video records related to security incidents shared with law enforcement or used are part of a criminal investigation are covered by Department Records Schedule (DRS) Code 4.3.08 "Legal – Law Enforcement – 20 Years" which has been approved by the



National Archives and Records Administration (NARA) (N1-115-94-8). Temporary (Long Term). Cutoff when case is closed. Transfer to the FRC at 10 years or earlier if volume warrants. Destroy 20 years after cutoff.

Video records for day-to-day activities such as checking on an unsecure door, closing a gate, or operating an access gate, are managed in accordance with DAA-GRS-2021-0001-0003, Facility security management operations records. These records are temporary and destroyed when 30 days old, but longer retention is authorized if required for business use.

Records on user activity are retained in accordance with Departmental Records Schedule (DRS) – Administrative schedule 1.4 A.1 – [0013] Short Term IT Records – System Maintenance and Use Records (DAA-0048-2013-0001-0013). These records have a temporary disposition. Records are cut-off when obsolete and destroyed no later than 3 years after cut-off.

**E. What are the procedures for disposition of the data at the end of the retention period? Where are the procedures documented?**

Approved disposition methods include shredding or pulping for paper records, and degaussing or erasing for electronic records, in accordance with NARA guidelines and Departmental policy. Archival and disposition of records will be accomplished within the automated records retention functions built in the system, and procedures will follow applicable guidance by NARA, applicable legislation such as the Federal Records Act, and Departmental guidance. Transitory, non-evidentiary records will be disposed after 180 days of retention. Permanent records are transferred to NARA after its retention period.

Evidence destruction is completed by the evidence custodian following the adjudication of the case, receipt of a court order, or as part of evidence inventory management and in compliance with the approved records retention schedule discussed in 3D above. Deletion/destruction of digital files is documented in the digital evidence management system for non-evidentiary records. Procedures for disposal/destruction of evidentiary records is specified in Department and Bureau policy.

**F. Briefly describe privacy risks and how information handling practices at each stage of the “information lifecycle” (i.e., collection, use, retention, processing, disclosure and destruction) affect individual privacy.**

There is a risk to the privacy of individuals in R-DEMS due to the amount of sensitive PII that may be captured and used or maintained for law enforcement incident reports, law enforcement investigations, and citizen encounters. The risks



are mitigated by controls implemented to limit unauthorized exposure of PII. R-DEMS is rated as a FISMA moderate system based upon the type and sensitivity of data and requires security and privacy controls to protect the confidentiality, integrity, and availability of the sensitive data contained in the system. The privacy controls are utilized to protect individual privacy, including limiting access to images or video feed that identify individuals or to specific events or investigations that are linked to individuals, to authorized users and SRF personnel. Only authorized personnel with proper credentials can access the records in the system. DOI requires multi-factor authentication for network and system access; system access is based on least privilege access and role-based access controls.

Privacy risks exist with data sharing with other law enforcement organizations related to the unauthorized sharing, data integrity or loss of data. R-DEMS supports law enforcement activities at DOI and PII is shared with other Law Enforcement agencies as part of the information sharing environment, for the purpose of investigation, apprehension, recordkeeping, and arrest and/or conviction for crimes committed on DOI lands and/or against DOI personnel. The system provides SRF personnel mobile video recording capability to document officer-citizen encounters while engaged in patrol functions, which are used in law enforcement activities on lands/areas governed by the DOI as well as tribal lands. DOI establishes information sharing agreements with partners for any sharing outside DOI. The authorized sharing of information in support of law enforcement activities is described in the routine uses published in the INTERIOR/DOI-10 Law Enforcement Records Management System (LE RMS) system of records notice, which may be viewed at: <https://www.doi.gov/privacy/sorn>.

Examples of controls to mitigate these risks include:

- Utilizing Secure File Transfer Protocols for transmission of information
- Access restrictions to authorized officials
- Authorized use of information shared
- Limits on uses and additional sharing
- Retention periods and authorized destruction or return of information shared

These recording devices are used for routine security operation purposes to enhance officer safety, promote cost savings, assist in crime prevention, and support law enforcement investigations. These cameras may be worn by SRF personnel, placed on the dashboard of SRF personnel's vehicles, or used by individual SRF personnel on properties and locations within the jurisdiction of the DOI. These locations include Federal facilities, national monuments, national parks, tribal lands, national dams and hydroelectric power plants, law enforcement offices and jail units, and public lands to include buildings, housing units, roadways, trails, and bridges/tunnels.



These devices may capture audio and images of persons, places and events occurring in real time as part of ongoing law enforcement operations, such as identifying persons involved in potential criminal activity, or persons or vehicles fleeing from SRF personnel. Some devices may capture metadata such as audio, images or recordings which provide the time, location, and date of the event. Users may use settings to zoom in for persons or objects of specific interest or pan areas of interest. Images or recordings could be used in any appropriate law enforcement investigation related to a potential criminal activity, including identification of suspects, and providing evidence that may be used in proceedings.

Some privacy concerns are that devices may collect more information than is necessary to accomplish law enforcement objectives. The devices are used by authorized SRF personnel to support law enforcement activities and investigations, prevent crime, and enhance officer safety, and provide training to promote safety and best practices. Only the images or video feed needed to support official law enforcement operations, respond to unlawful activities, or support investigations and prosecutions is retained for use. All other video feed not required for retention will be automatically overwritten or disposed of per DOI records retention policy.

Another concern is that the use of the audio/visual recording devices may restrict First Amendment protected activities like freedom of speech or association. The purpose for use of the visual recording devices is to detect and deter criminal activity and support law enforcement, security and emergency service activities on lands/areas governed by DOI, not to record or restrict individuals lawfully engaged in First Amendment protected activities. First Amendment demonstrations are not filmed for the sole purpose of identifying and recording the presence of individual participants engaged in lawful conduct. First Amendment demonstrations may be recorded where SRF personnel encounter them during routine security operation activities to document violations of unlawful conduct.

There is a risk of unauthorized access to the system or data, inappropriate use, or disclosure of information to unauthorized recipients. Only authorized personnel with proper credentials can access the records in the system. DOI requires multi-factor authentication for network and system access. System access is based on least privilege access and role-based access controls. Those with access to the system receive privacy, security, and records management training, both prior to the granting of access and annually thereafter. DOI employees and contractors must take privacy, security, and records management training prior to being granted access to DOI information and information systems, and annually thereafter. Personnel with significant privacy responsibilities, such as law enforcement personnel, must also



take role-based privacy training initially and annually to ensure an understanding of the responsibility to protect privacy. Disclosure of sensitive information or PII to unauthorized recipients, failure to protect PII, mishandling of PII or misuse of PII may result in criminal, civil, and administrative penalties.

R-DEMS is hosted by a cloud service provider. There is a risk that data may not be appropriate to store in a cloud service provider's system, or that the vendor may not handle or store information appropriately according to DOI policy. The data collected by SRF personnel's cameras and uploaded into the evidence management system is considered sensitive and contains PII. The provider implements protections and controls to restrict access to unauthorized parties, as is required to maintain the necessary FedRAMP Authority to Operate (ATO). The provider is required to submit to additional security accreditation to maintain the DOI ATO including continuous monitoring to ensure the vendor's system handles and stores sensitive information in accordance with Federal and DOI privacy and security standards.

There is a risk that information may be used outside the scope of the purpose for which it was collected. SRF personnel with access to recorded material and digital evidence are subject to strict DOI policy, bureau policy, and Privacy Act standards. These law enforcement personnel are required to take privacy, security, and records management training prior to being granted access to system, and annually thereafter. They must also take role-based privacy training initially and annually to ensure an understanding of the responsibility to protect privacy. Failure to protect PII captured in digital evidence, to include the mishandling or misuse of this PII, may result in criminal, civil, and administrative penalties.

There is a risk that the system may collect, store, or share more information than necessary, or the information may be maintained longer than necessary to accomplish a legitimate purpose or in accordance with an approved records retention schedule. Additionally, controls are established in accordance with approved records retention schedules to ensure retention of images and video feeds does not exceed approved periods necessary for law enforcement purposes. DOI restricts the maintenance of images or video feeds not necessary for retention to the minimum necessary (180 days) in accordance with approved records retention schedules for routine surveillance motion picture and video recordings. The DOI policy and records retention schedules dictate proper disposal of recordings at the end of the retention period and establishes specific policy and rules of behavior for the use of these audio/visual recording devices. Video records that are part of a litigation are managed in accordance with DAA-GRS-2021-0001-0003, Facility security management operations records. Video and audio recordings of non-evidentiary value is transitory and is destroyed after 180 days in accordance with approved records retention schedules for routine surveillance motion picture and



video recordings.

There is risk that data from different sources may be aggregated and may provide more information about an individual. This data may become outdated or inaccurate. This system supports security operation activities. Due to the nature of security operations and investigations, data collected about individuals from sources may be aggregated during an investigation. Some mitigation occurs at the time of entry through data validation. Records are disposed based upon the records management schedule. Security operation records are created based upon the available information at the time, which may not be complete and precise.

There is a risk related to external sharing of data with other federal, state, tribal, local, international law enforcement organizations and sharing incorrect, inaccurate, or outdated records misidentification. The system incorporates secure communication using Transport Layer Security (TLS) for all transmission of data to the internal repository as well as external agency repositories. Interconnection agreements are established through IMARS and enable bureaus to share authorized data with other Bureaus and other law enforcement organizations. The service agreements ensure the proper documentation of the technical requirements for connectivity and compliance with secure communications for Federal Information Systems in accordance with NIST SP 800-47 "Security Guide for Interconnecting Information Technology Systems." In addition, a continuous monitoring program is in place through boundary protection mechanisms as well as the data repository hosting facility.

There is a risk that individuals may not have notice regarding the collection of information, the purposes for collection or how the information will be used. Notice is provided through the publication of this privacy impact assessment and posted signs for areas that use CCTV. The body-worn cameras are worn openly on the SRF personnel's uniform. No law or DOI policy requires a notification to the public of SRF personnel recording video in public spaces while performing their security force duties.

There is a risk that individuals may not know how to seek redress or correction of their records. Individuals seeking redress may submit requests for correction through established procedures outlined in DOI Privacy Act regulations at 43 CFR 2.246 and in the Contesting Procedures section of the LE RMS notice. The DOI Privacy and Civil Liberties web page at <https://www.doi.gov/privacy/privacy-civil-liberties> also provides information on how individuals may submit a complaint or a request to correct erroneous information. However, DOI has exempted certain law enforcement records from one or more provisions of the Privacy Act under 5 U.S.C.



552a(j)(2) and (k)(2), to preclude an individual subject's access to and amendment of information or records related to or in support of an investigation.

There is a risk to the privacy of individuals with the use of the mobile applications due to the nature of security operation interactions with the public and the amount of PII that the mobile applications can access for viewing, processing and storage from videos, photos, audio, and metadata such as GPS data. The mobile devices use a combination of technical and operational controls to mitigate the privacy risks such as government approved encryption for storage, least privileges for authorized users of the system, and persistent proximity to a camera for functionality. In addition, mobile applications are only installed and used on authorized government issued mobile devices that meet DOI requirements for IT security protection.

#### **Section 4. PIA Risk Review**

**A. Is the use of the data both relevant and necessary to the purpose for which the system is being designed?**

Yes: The use of R-DEMS is necessary for the collection, transfer, management, retrieval, sharing, redaction, release, and records management associated with evidentiary and non-evidentiary data in support of law enforcement activities.

No

**B. Does this system or electronic collection derive new data or create previously unavailable data about an individual through data aggregation?**

Yes: This system is associated with law enforcement records in the LE RMS, the DOI law enforcement system, and/or computer aided dispatch systems (CAD). Data in LE RMS and CAD may be obtained from multiple sources instead of the individual. There is risk that data from different sources may be aggregated and may provide more information about an individual. This data may become outdated or inaccurate. Mitigation occurs at the time of entry through data validation. Records are disposed based upon the records management schedule.

No

**C. Will the new data be placed in the individual's record?**



Yes: Recorded data collected in support of law enforcement efforts may include videos relating to individuals as evidence during an investigation and it could be used for official purposes.

No

**D. Can the system make determinations about individuals that would not be possible without the new data?**

Yes: Digital evidence (images) could be used to assist law enforcement with identifying individuals during investigations and prosecutions.

No

**E. How will the new data be verified for relevance and accuracy?**

Security Response Force (SRF) personnel and their supervisors are responsible for the relevance and accuracy of the data. Supervisors also review data for accuracy and completeness during the investigation process, which may include subject or witness interviews and verifying information with other law enforcement agencies and organizations.

**F. Are the data or the processes being consolidated?**

Yes, data is being consolidated. *Describe the controls that are in place to protect the data from unauthorized access or use.*

Yes, processes are being consolidated. *Describe the controls that are in place to protect the data from unauthorized access or use.*

No, data or processes are not being consolidated.

**G. Who will have access to data in the system or electronic collection? Indicate all that apply.**

Users

Contractors

Developers

System Administrator

Other: *Describe*



**H. How is user access to data determined? Will users have access to all data or will access be restricted?**

Access to R-DEMS is restricted by a role-based and least privileges principles. Access to the evidence management system requires an active DOI email account. SRF personnel require supervisor authorization to establish user accounts to access the system. Users do not have access to all data, they have access to the data required to perform their duties based on their roles. System administrators assign levels of access. R-DEMS provides surveillance in support of law enforcement activities at DOI and shares PII with other Law Enforcement agencies as part of the information sharing environment, for the purpose of investigation, apprehension, recordkeeping, and arrest and/or conviction for crimes committed on DOI lands and/or against DOI personnel. DOI establishes information sharing agreements with partners for any sharing outside of DOI.

**I. Are contractors involved with the design and/or development of the system, or will they be involved with the maintenance of the system?**

Yes. Contractors are involved in the development and maintenance of the system and Privacy Act contract clauses are included in the contract. Contractors are also involved in the redaction of digital evidence for release under FOIA or the Privacy Act.

No

**J. Is the system using technologies in ways that the DOI has not previously employed (e.g., monitoring software, SmartCards or Caller ID)?**

Yes.

No

**K. Will this system provide the capability to identify, locate and monitor individuals?**

Yes. The purpose of R-DEMS is to provide surveillance in support of law enforcement operations, investigations, and prosecutions. The nature of the system includes monitoring individuals as it provides SRF personnel mobile video recording capability to document officer-citizen encounters while engaged in patrol functions, which are used in law enforcement activities on lands/areas governed by the DOI as well as tribal lands. The content within the system can provide the capability to identify and locate individuals. Data is captured on SRF personnel's camera systems when the recording device is activated during SRF personnel-citizen interactions. Data recorded is directly related to SRF personnel activities and emergency response, and may include video images of people, driver licenses, and personal information



verbally requested for the purposes of violation notices and/or arrests during a lawful contact. Additionally, the system itself has audit features that monitor user activity within the system.

No

**L. What kinds of information are collected as a function of the monitoring of individuals?**

Digital information including audio and video is captured from primarily the body-worn cameras. Digital information that is evidentiary in nature is collected. The data collected may include physical attributes of an individual, personal, and professional address, telephone, any associated information, and other PII such as driver licenses. Non-evidentiary digital media is transitory and destroyed. The system audit features monitor user activity within the system and collect:

- Successful login
- Successful logout
- Unsuccessful login
- Unsuccessful logout
- Date/time of access
- Privileged system changes
- Accounts created, deleted, or modified
- All password resets
  - Groups created, deleted, or modified
  - Membership changes
- Permission or rights assignments
- All super user (administrator or root) activities on the service
- All rights or permission assignments
- Successful or Failed software patch or upgrades
- New services installed or started
- Restarts or resets
- Audit log configuration changes

**M. What controls will be used to prevent unauthorized monitoring?**

The system itself has audit features that monitor user activity. Access controls, least privileges, rules of behavior, business rules, internal instructions, posting Privacy Act Warning Notices address access controls, in addition to security and privacy training. It is the responsibility of information system owner and system manager to



ensure no unauthorized monitoring is occurring.

**N. How will the PII be secured?**

(1) Physical Controls. Indicate all that apply.

- Security Guards
- Key Guards
- Locked File Cabinets
- Secured Facility
- Closed Circuit Television
- Cipher Locks
- Identification Badges
- Safes
- Combination Locks
- Locked Offices
- Other. *Describe*

(2) Technical Controls. Indicate all that apply.

- Password
- Firewall
- Encryption
- User Identification
- Biometrics
- Intrusion Detection System (IDS)
- Virtual Private Network (VPN)
- Public Key Infrastructure (PKI) Certificates
- Personal Identity Verification (PIV) Card
- Other. *Describe*

(3) Administrative Controls. Indicate all that apply.

- Periodic Security Audits
- Backups Secured Off-site
- Rules of Behavior
- Role-Based Training
- Regular Monitoring of Users' Security Practices
- Methods to Ensure Only Authorized Personnel Have Access to PII



- Encryption of Backups Containing Sensitive Data
- Mandatory Security, Privacy and Records Management Training
- Other. *Describe*

**O. Who will be responsible for protecting the privacy rights of the public and employees? This includes officials responsible for addressing Privacy Act complaints and requests for redress or amendment of records.**

The Chief Security Officer, Mission Assurance and Protection Organization (MAPO) is the R-DEMS Information System Owner and the official responsible for oversight and management of the R-DEMS security and privacy controls and the protection of agency information processed and stored in the R-DEMS system. The Information System Owner and Information System Security Officer are responsible for ensuring adequate safeguards are implemented to protect individual privacy in compliance with Federal laws and policies for the data managed, used, and stored in R-DEMS. These officials and authorized R-DEMS personnel are responsible for protecting individual privacy for the information collected, maintained, used, shared, and disposed of in the system, and for meeting the requirements of the Privacy Act.

**P. Who is responsible for assuring proper use of the data and for reporting the loss, compromise, unauthorized disclosure, or unauthorized access of privacy protected information?**

The R-DEMS Information System Owner and Information System Security Officer are responsible for daily operational oversight and management of the system's security and privacy controls and ensuring to the greatest possible extent that agency data is properly managed and that all access to agency data has been granted in a secure and auditable manner. The R-DEMS Information System Owner, Information System Security Officer, and authorized users are responsible for ensuring that any loss, compromise, unauthorized access, or disclosure of PII is reported to DOI-CIRC, DOI's incident reporting portal, and appropriate DOI officials in accordance with Federal policy and established DOI procedures.